

Towards an Automatic Proof of Lamport's Paxos

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Distributed Protocol \equiv Architectural Blueprint

```
1 ----- MODULE Paxos -----  
2 (* This is a specification of the Paxos algorithm without explicit leaders *)  
3 (* or learners. *)  
4 (* This is a specification of the Paxos algorithm without explicit leaders *)  
5 (* or learners. *)  
6 EXTENDS Integers  
7 -----  
8 (* The constant same as in Voting. *)  
9 (* The constant same as in Voting. *)  
10 -----  
11 CONSTANT Value,  
12 -----  
13 ASSUME QuorumAssumption == /\ \A Q \in Quorum : Q \subseteqq Acceptor  
14                               /\ \A Q1, Q2 \in Quorum : Q1 \cap Q2 # {}  
15 -----  
16 Ballot == Nat
```

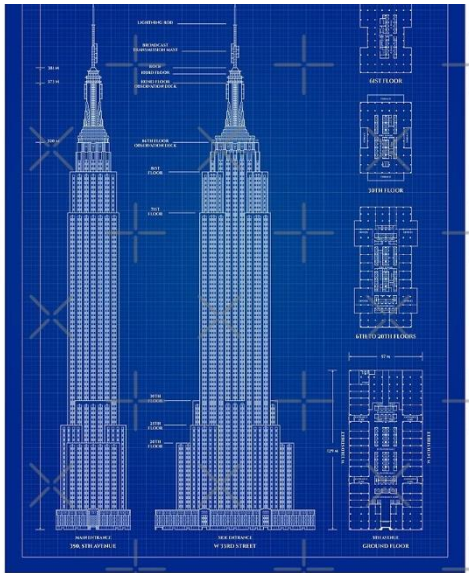


Amazon
EC2

Google
Cloud
Spanner



Apache
CASSANDRA



Why Verify?

Akamai outage was due to 'DNS bug'

DatacenterDynamics

July 23, 2021

An error, not an attack

"At 15:46 UTC today, a software configuration update triggered a bug in the DNS system, the system that directs browsers to websites.



DeFi bug accidentally gives \$90 million to users, founder begs them to return it

October 1, 2021

About \$90 million has mistakenly gone out to users of Compound, a popular decentralized-finance staking protocol, and the founder is begging users to voluntarily return the tokens.

```
1217     if (supplierIndex == 0 && supplyIndex > compInitialIndex) {
1218         // Covers the case where users supplied tokens before the market's supply state index was set.
1219         // Rewards the user with COMP accrued from the start of when supplier rewards were first
1220         // set for the market.
1221         supplierIndex = compInitialIndex;
1222     }
1223
1224     // Calculate change in the cumulative sum of the COMP per cToken accrued
1225     Double memory deltaIndex = Double({mantissa: sub_(supplyIndex, supplierIndex)});
1226
```

ToyConsensus Protocol¹ in TLA+

MODULE *ToyConsensus*

Domains

1 CONSTANTS voters, quorum, candidates

State variables

2 VARIABLES *vote*, *leader*

3 *vote* ∈ (voters × candidates) → BOOLEAN

leader ∈ candidates → BOOLEAN

Global axiom

4 ASSUME $\forall Q \in \text{quorum} : Q \subseteq \text{voters} \wedge \forall Q_1, Q_2 \in \text{quorum} : Q_1 \cap Q_2 \neq \{\}$

Definitions

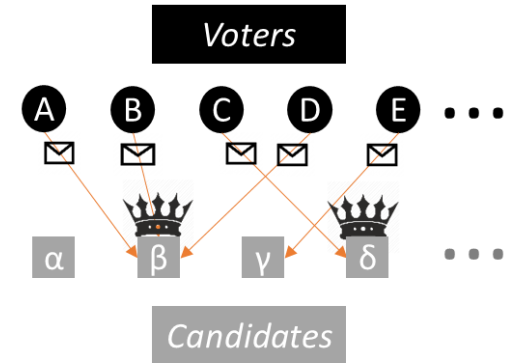
5 *didNotVote*(*v*) $\triangleq \forall C \in \text{candidates} : \neg \text{vote}(v, C)$

6 *chosenAt*(*q*, *c*) $\triangleq \forall V \in q : \text{vote}(V, c)$

Actions

7 *CastVote*(*v*, *c*) $\triangleq \text{didNotVote}(v) \wedge \text{vote}' = [\text{vote EXCEPT } ![v, c] = \text{TRUE}]$
 $\wedge \text{UNCHANGED } \text{leader}$

8 *Decide*(*q*, *c*) $\triangleq \text{chosenAt}(q, c) \wedge \text{leader}' = [\text{leader EXCEPT } ![c] = \text{TRUE}]$
 $\wedge \text{UNCHANGED } \text{vote}$



At most one leader
at all times

Initial States

9 *Init* $\triangleq \forall V \in \text{voters}, C \in \text{candidates} : \neg \text{vote}(V, C) \wedge$
 $\forall C \in \text{candidates} : \neg \text{leader}(C)$

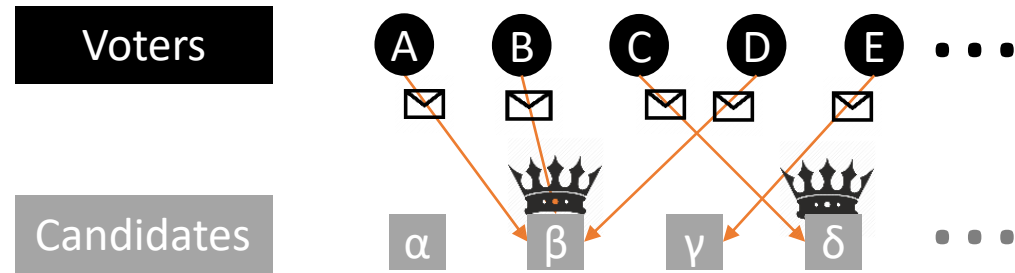
Transition Relation

10 *T* $\triangleq \exists V \in \text{voters}, Q \in \text{quorum}, C \in \text{candidates} : \text{CastVote}(V, C) \vee \text{Decide}(Q, C)$

Safety Property

11 *P* $\triangleq \forall C_1, C_2 \in \text{candidates} : \text{leader}(C_1) \wedge \text{leader}(C_2) \rightarrow C_1 = C_2$

Verifying Distributed Protocols

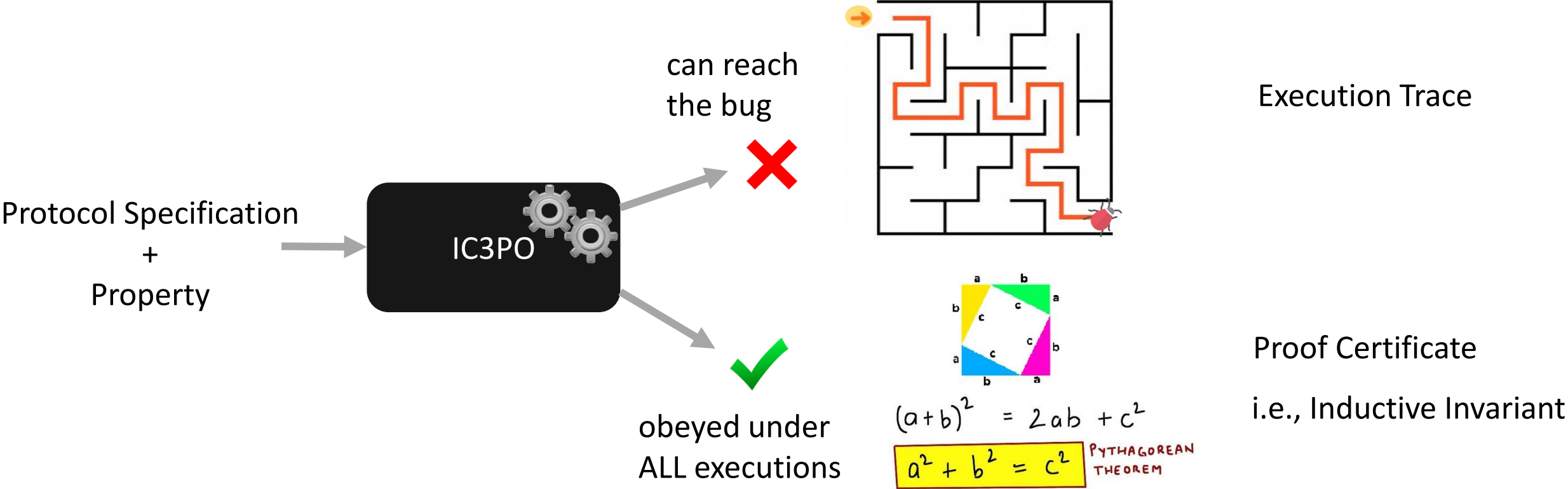


At most one leader
at all times

Challenges 🤔

- **Infinite** State Space
- Reasoning is **Hard/Undecidable**
- **Not** Scalable

IC3PO: IC3 for Proving Protocol Properties



IC3PO's Key Ingredients

Finite-Domain Model Checking

Leslie Lamport <tlaplus.ll@gmail.com>: Apr 15 09:45AM -0700

While large sets can cause performance problems, it's rare for an algorithm to be correct for a set of 3 elements and not for a set of 1000 elements.

Spatial Regularity

Symmetry Boosting using Protocol's Domain Symmetries

Temporal Regularity

Range Boosting over Totally-ordered Domains

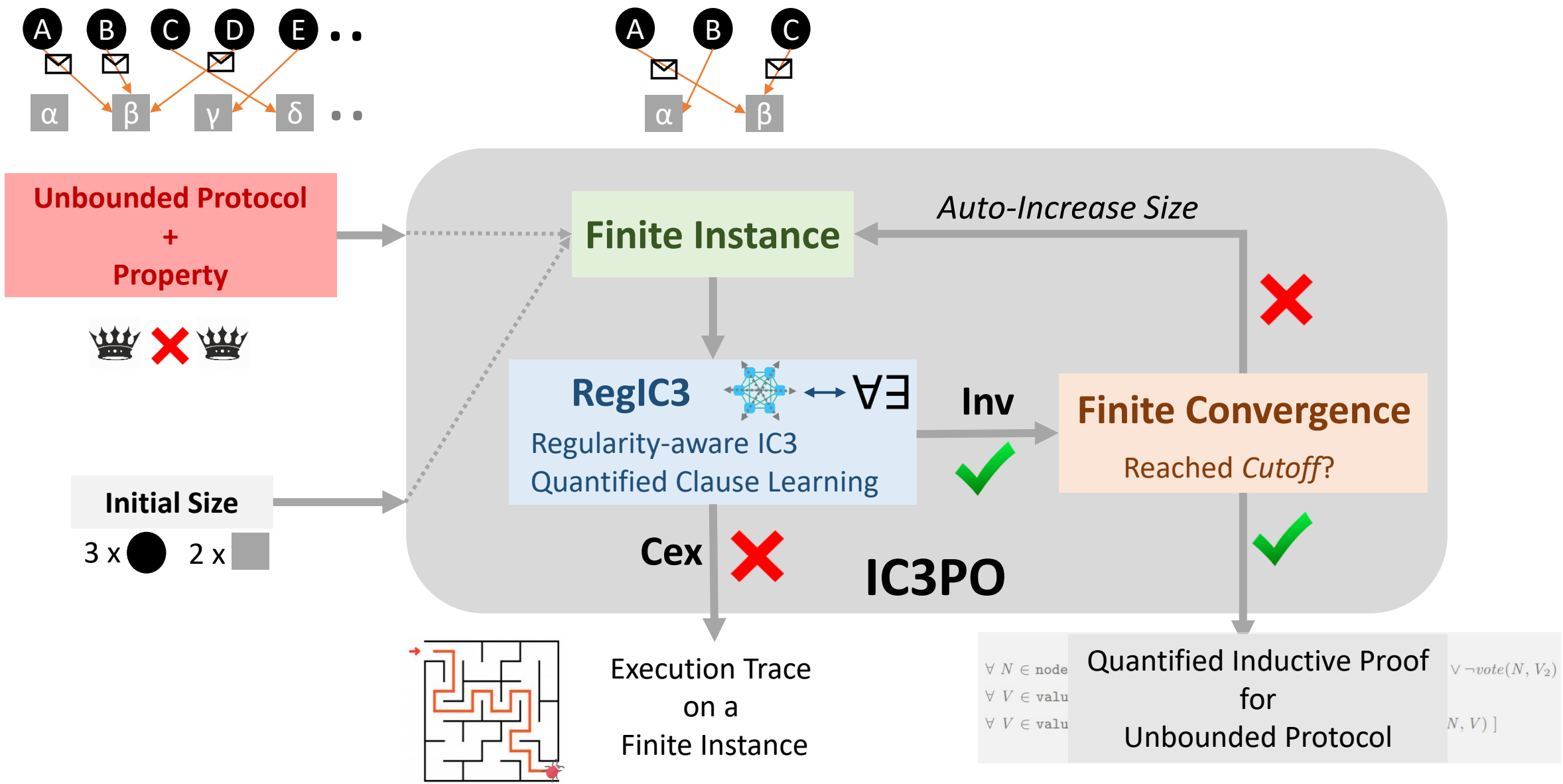
Regularity \leftrightarrow Quantification

Compact Quantified Clause Learning

Hierarchical Structure

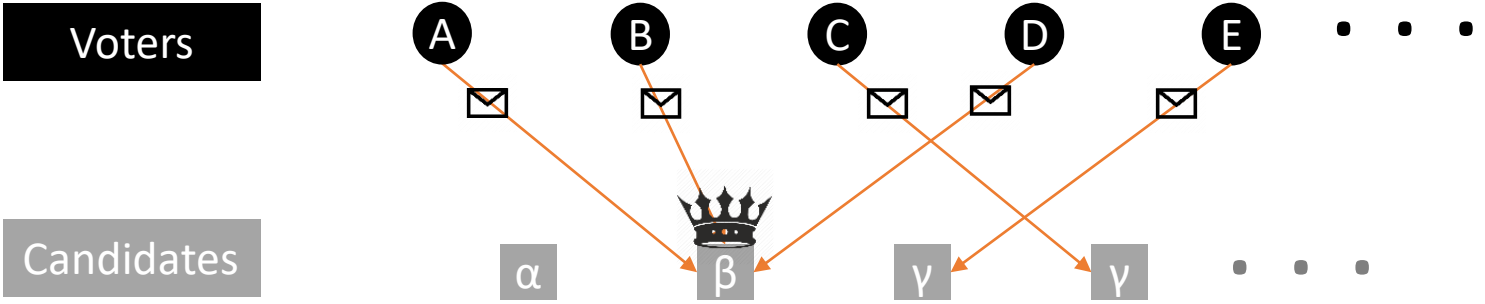
Hierarchical Strengthening for High Scalability

IC3PO: IC3 for Proving Protocol Properties

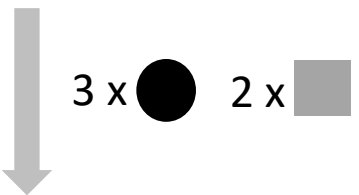


Finite-Domain Model Checking

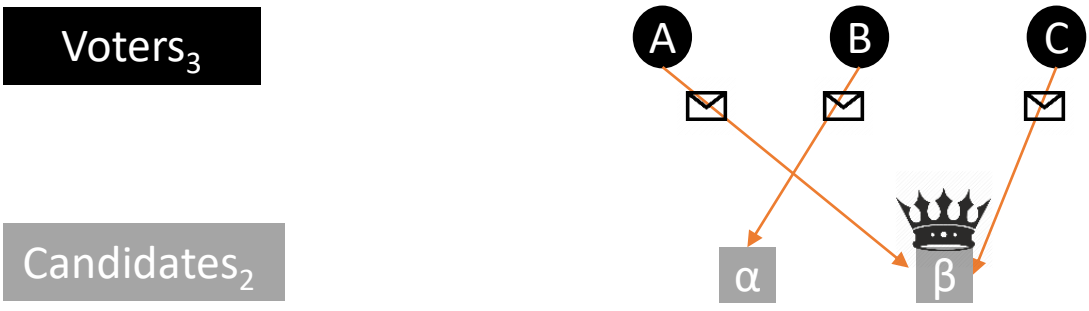
Unbounded Protocol



State-space size = unbounded



Finite Instance



State-space size = 2^8

Challenges



Infinite State Space

Reasoning is Hard/Undecidable

Not Scalable

Benefits



Finite State Space

Always Decidable

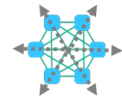
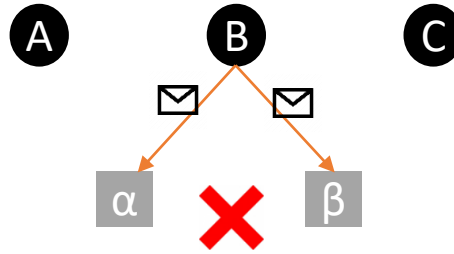
Fast reasoning with SMT solvers

Symmetry Boosting for Symmetric Domains

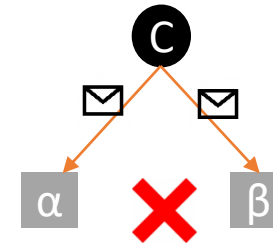
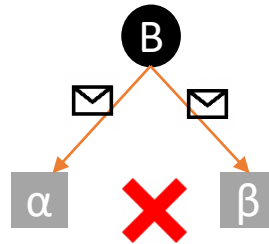
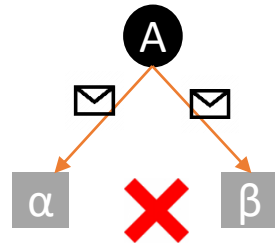
Finite Instance

Voters₃

Candidates₂



All voters are *symmetrically-equivalent*



- All domain elements can be **permuted arbitrarily**
- Learn all *symmetrically-equivalent* clauses **without any additional reasoning**
- Compact **quantified clauses**

Relating Symmetry with Quantification

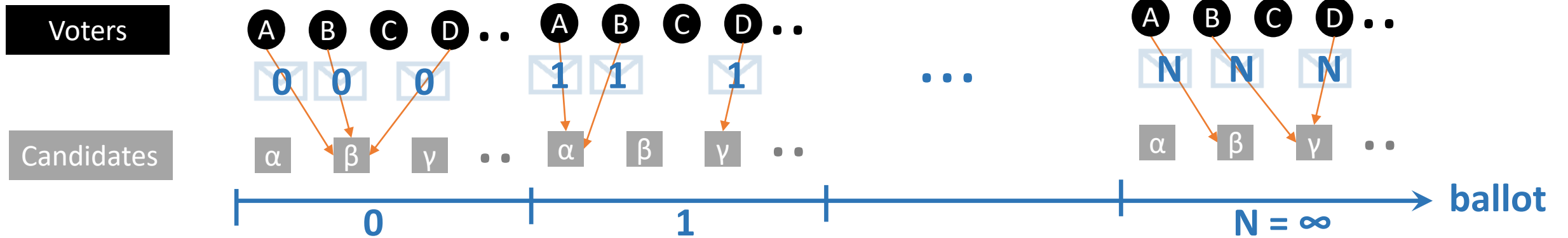
Form	Clause	Boosted Clause
\forall	$\text{clause}_1 = \neg \text{vote}(A, \alpha) \vee \neg \text{vote}(A, \beta)$	$\text{Quantified}(\text{clause}_1) = \forall X \in \text{Voters}_3: \neg \text{vote}(X, \alpha) \vee \neg \text{vote}(X, \beta)$
\exists	$\text{clause}_2 = \text{vote}(A, \alpha) \vee \text{vote}(B, \alpha) \vee \text{vote}(C, \alpha)$	$\text{Quantified}(\text{clause}_2) = \exists Y \in \text{Voters}_3: \text{vote}(Y, \alpha)$
$\forall \exists$	$\text{clause}_3 = \neg \text{vote}(A, \alpha) \vee \text{vote}(B, \alpha) \vee \text{vote}(C, \alpha)$	$\text{Quantified}(\text{clause}_2) = \forall X \in \text{Voters}_3: \exists Y \in \text{Voters}_3:$ $\neg \text{vote}(X, \alpha) \vee [(X \neq Y) \wedge \text{vote}(Y, \alpha)]$

Voting Protocol¹ in TLA+

```
MODULE Voting
1  CONSTANTS value, acceptor, quorum
2  ballot  $\triangleq$  Nat  $\cup$  {-1}
3  VARIABLES votes, maxBal
4  votes  $\in$  (acceptor  $\times$  ballot  $\times$  value)  $\rightarrow$  BOOLEAN
   maxBal  $\in$  acceptor  $\rightarrow$  ballot
5  ASSUME  $\forall Q \in$  quorum :  $Q \subseteq$  acceptor  $\wedge \forall Q_1, Q_2 \in$  quorum :  $Q_1 \cap Q_2 \neq \{\}$ 
6  chosenAt(b, v)  $\triangleq \exists Q \in$  quorum :  $\forall A \in Q : votes(A, b, v)$ 
7  chosen(v)  $\triangleq \exists B \in$  ballot : chosenAt(B, v)
8  showsSafeAt(q, b, v)  $\triangleq$  ...
9  isSafeAt(b, v)  $\triangleq$  ...
10 IncreaseMaxBal(a, b)  $\triangleq$  ...
11 VoteFor(a, b, v)  $\triangleq$  ...
12 Init  $\triangleq \forall A, B, V : \neg votes(A, B, V) \wedge \forall A : maxBal(A) = -1$ 
13 Next  $\triangleq \exists A, B, V : IncreaseMaxBal(A, B) \vee VoteFor(A, B, V)$ 
14 Safety  $\triangleq \forall V_1, V_2 : chosen(V_1) \wedge chosen(V_2) \rightarrow V_1 = V_2$ 
```

Totally-Ordered Domains

Unbounded Protocol



Challenges



Cannot be permuted arbitrarily

Unsafe combinations due to special elements

Solution

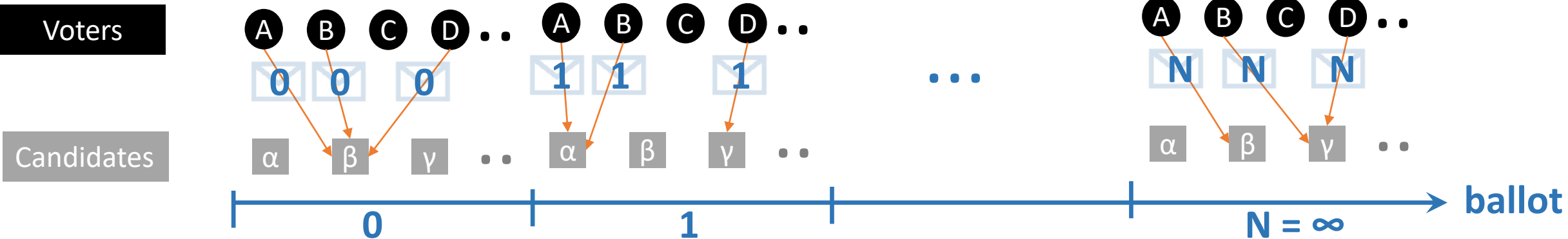


Respect the **total order**

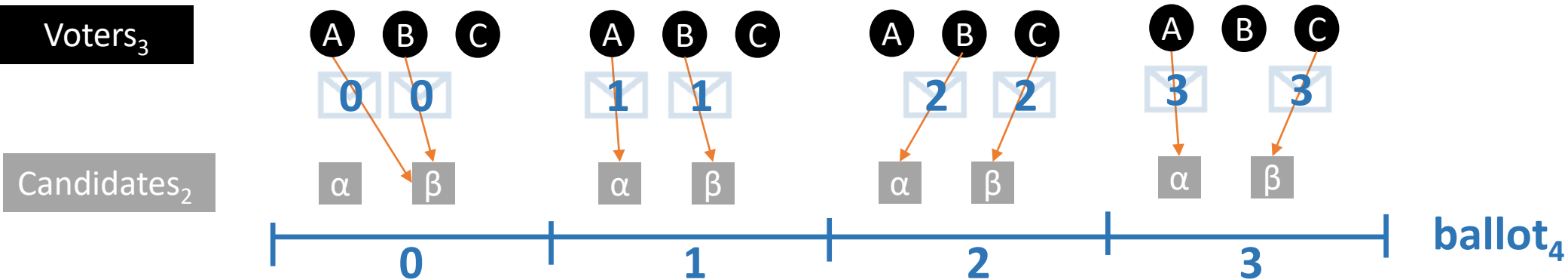
Respect **reachability constraints**

Finite-Domain Model Checking

Unbounded Protocol



Finite Instance

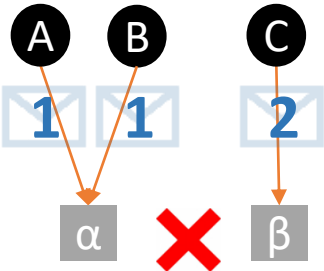


Boosting for Totally-Ordered Domains

Finite Instance

Voters₃

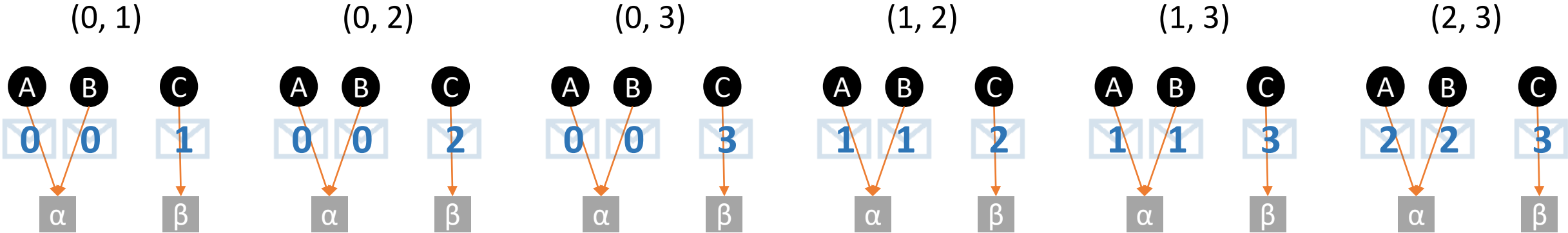
Candidates₂



clause = $chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 2)$



Respect the **total order**, i.e., only consider *ordered* permutations

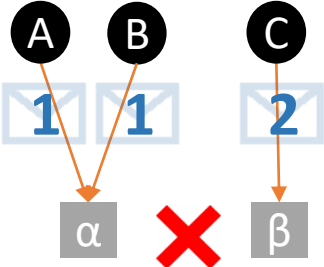


Boosting for Totally-Ordered Domains

Finite Instance

Voters₃

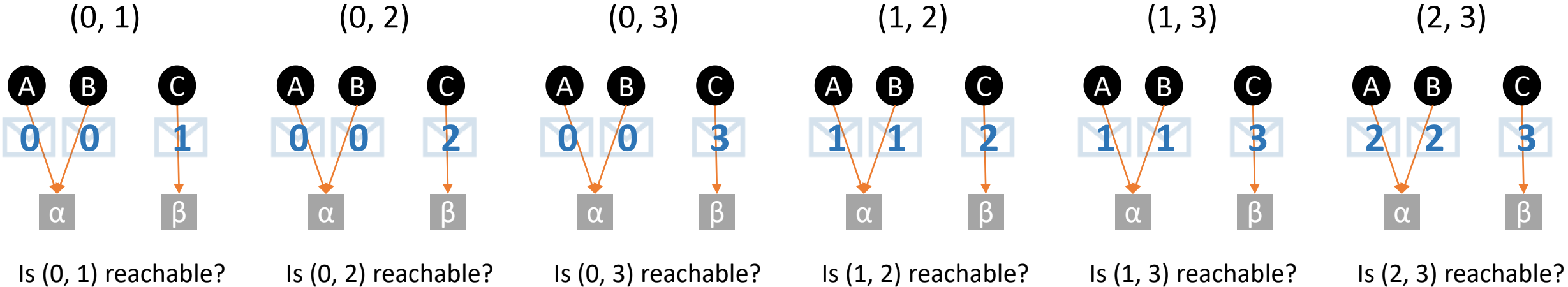
Candidates₂



clause = $chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 2)$



Respect **reachability constraints**, i.e., check unreachability with additional SMT queries

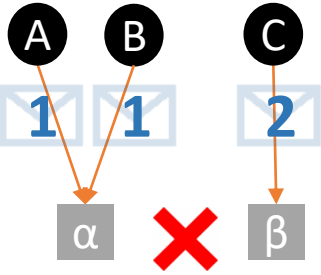


Range Boosting for Totally-Ordered Domains

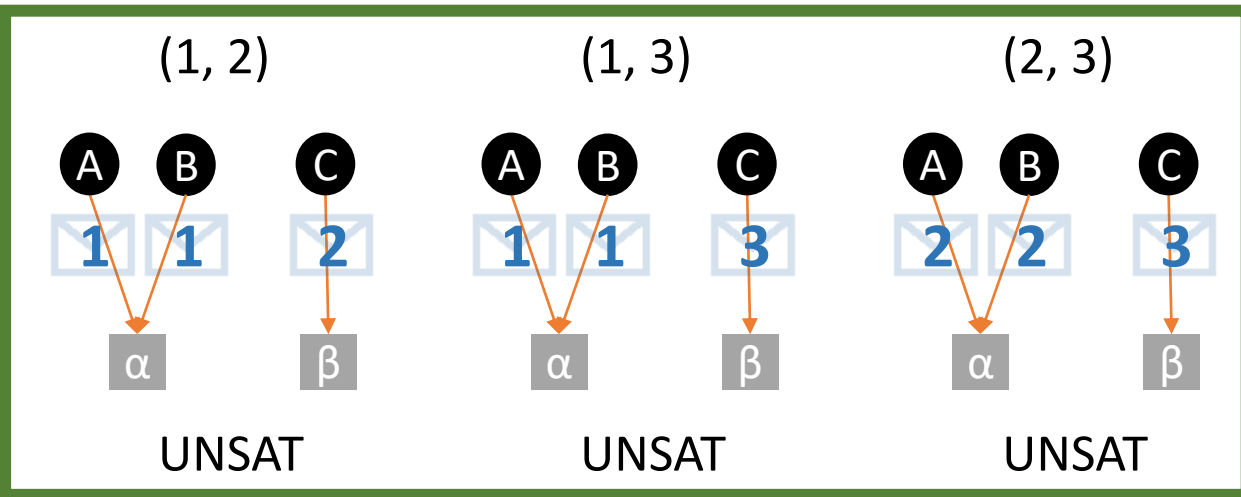
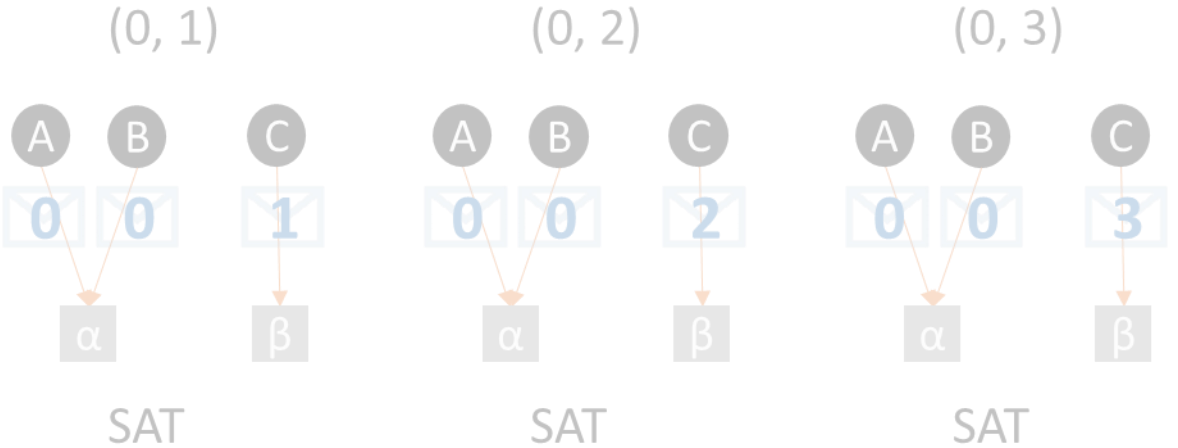
Finite Instance

Voters₃

Candidates₂



clause = $chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 2)$

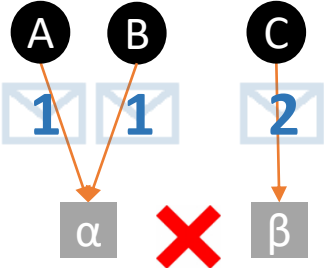


Range Boosting for Totally-Ordered Domains

Finite Instance

Voters₃

Candidates₂

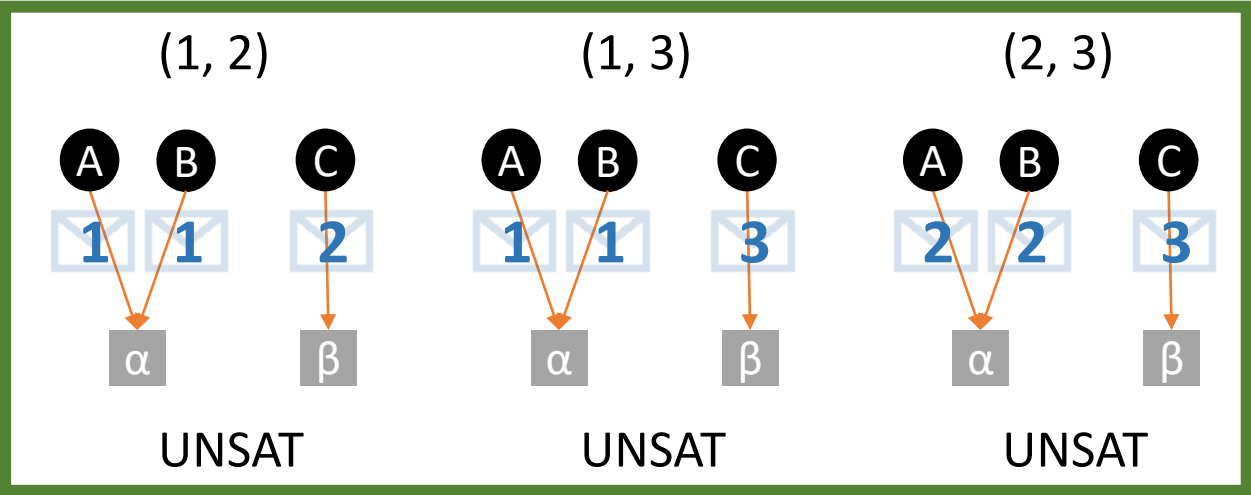


clause = $chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 2)$



Safe Orbit(clause) =

- [$chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 2)$] \wedge
- [$chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 3)$] \wedge
- [$chosen(\alpha, 2) \rightarrow \neg vote(C, \beta, 3)$]

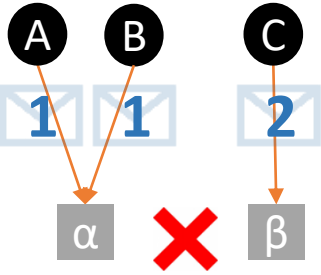


Range Boosting for Totally-Ordered Domains

Finite Instance

Voters₃

Candidates₂



clause = $chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 2)$



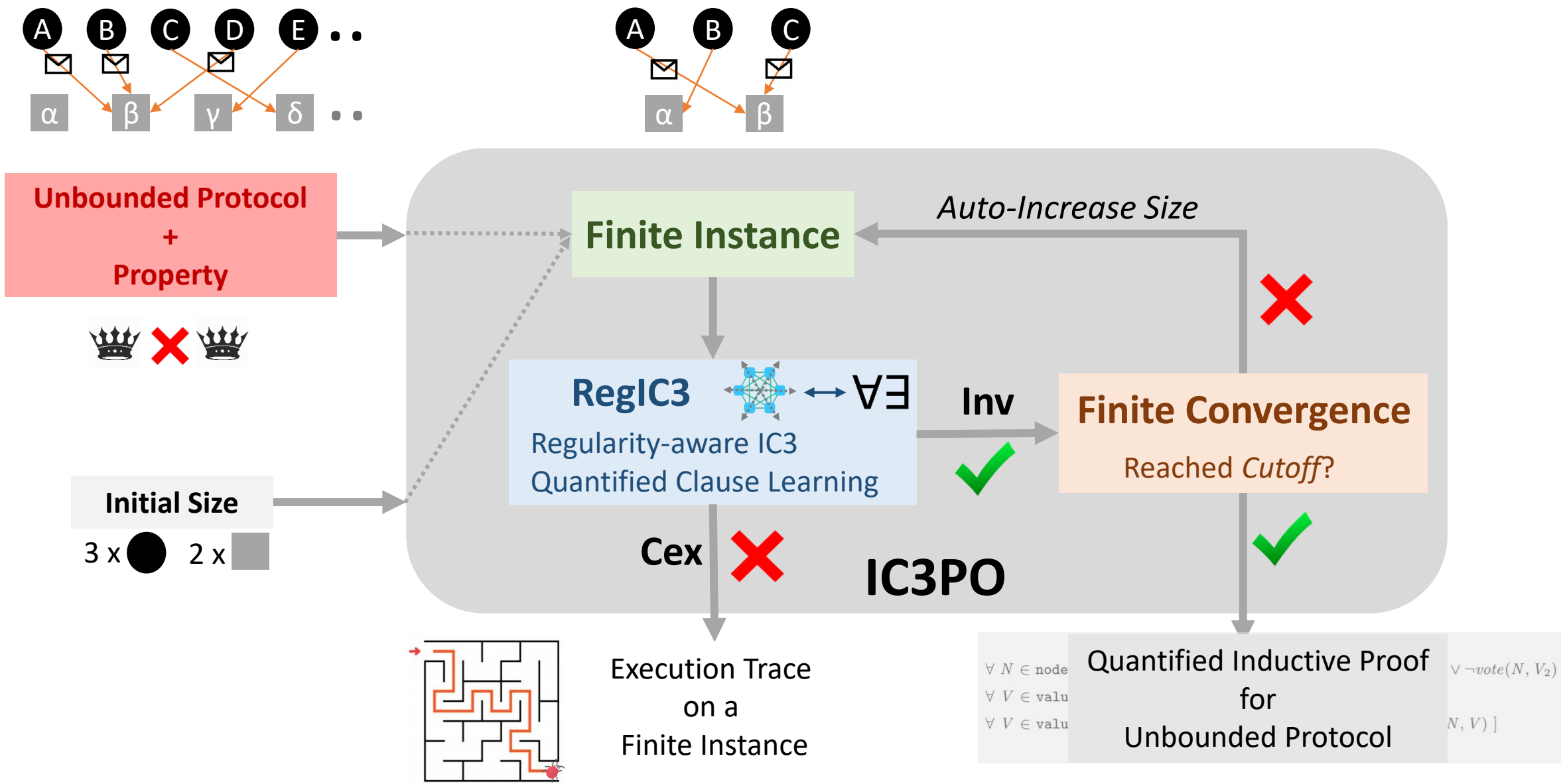
Encode unreachable combinations as a **quantified range constraint**

Safe Orbit(clause) =

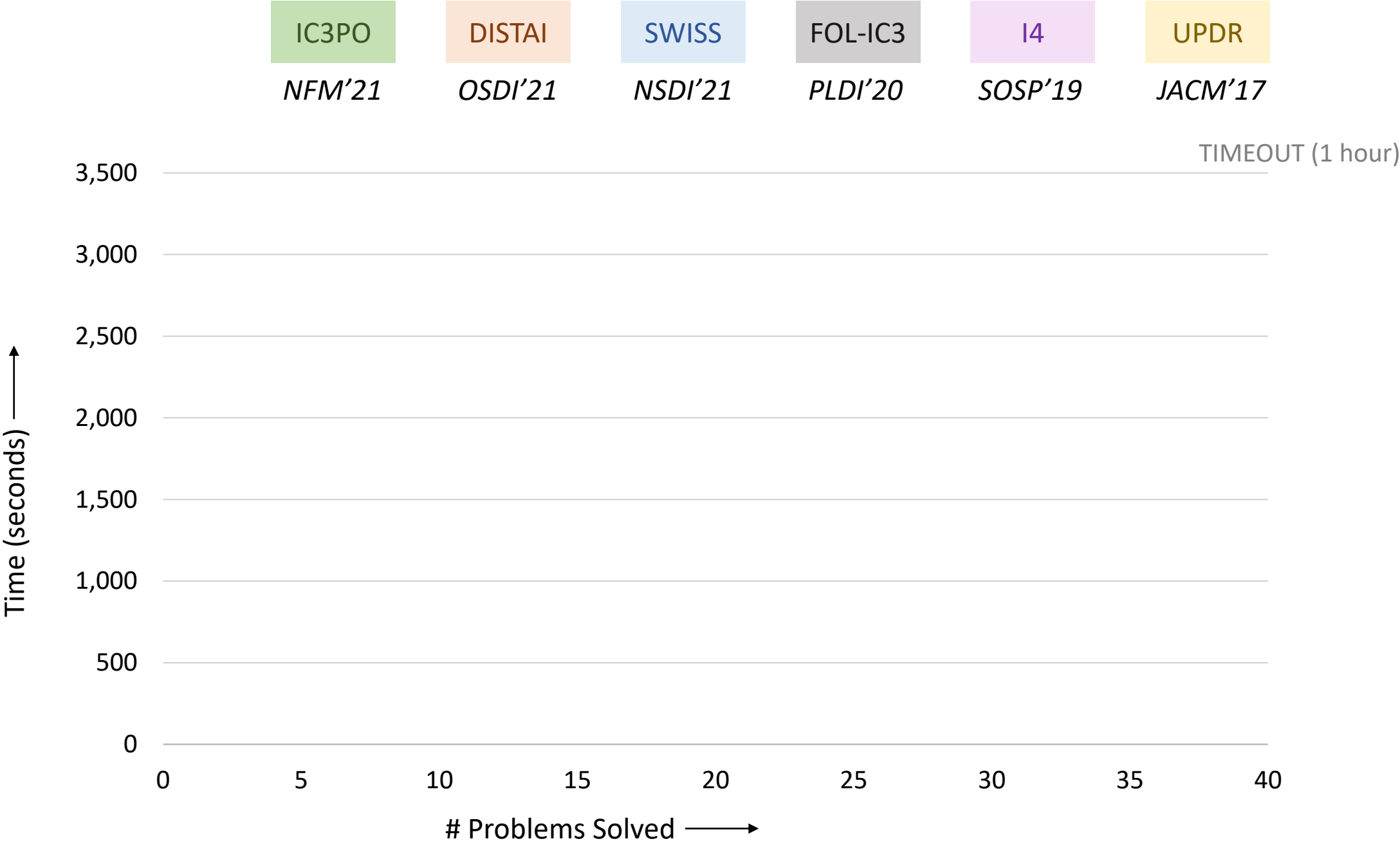
Quantified(clause) =

$$\begin{aligned}
 & [chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 2)] \wedge \\
 & [chosen(\alpha, 1) \rightarrow \neg vote(C, \beta, 3)] \wedge \\
 & [chosen(\alpha, 2) \rightarrow \neg vote(C, \beta, 3)] \\
 & \equiv \quad \forall X, Y \in \text{ballot}_4 : \\
 & \quad (0 < X < Y) \rightarrow [chosen(\alpha, X) \rightarrow \neg vote(C, \beta, Y)]
 \end{aligned}$$

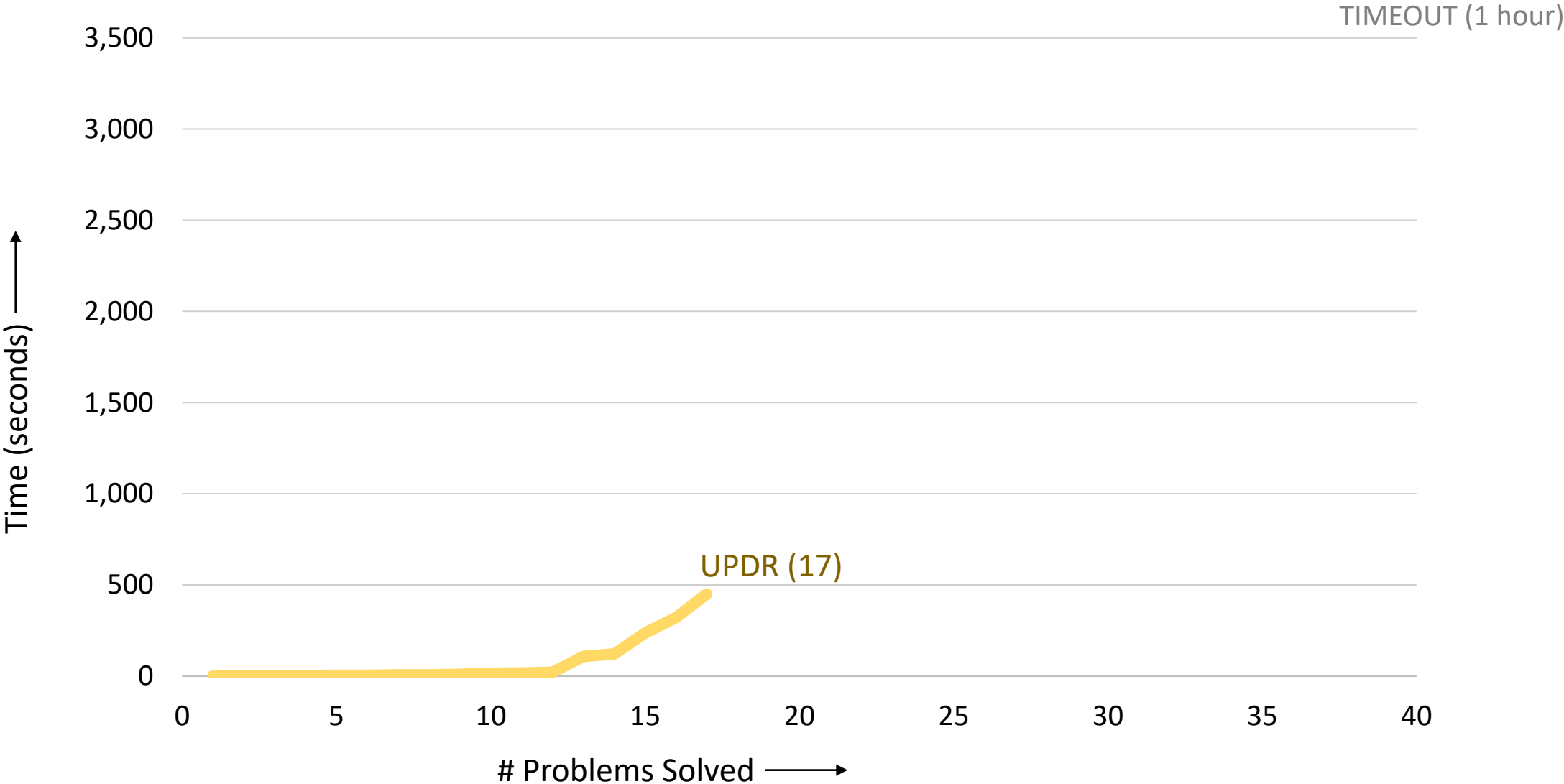
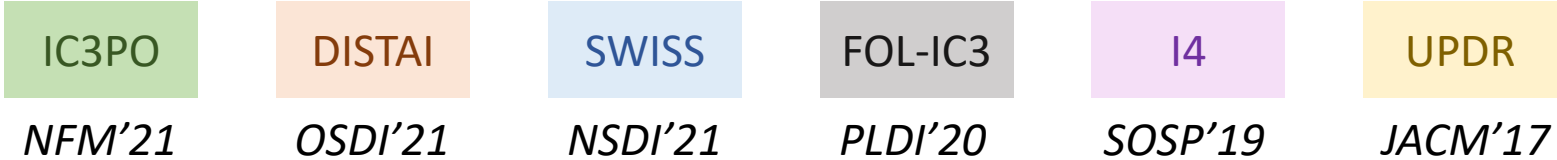
IC3PO: IC3 for Proving Protocol Properties



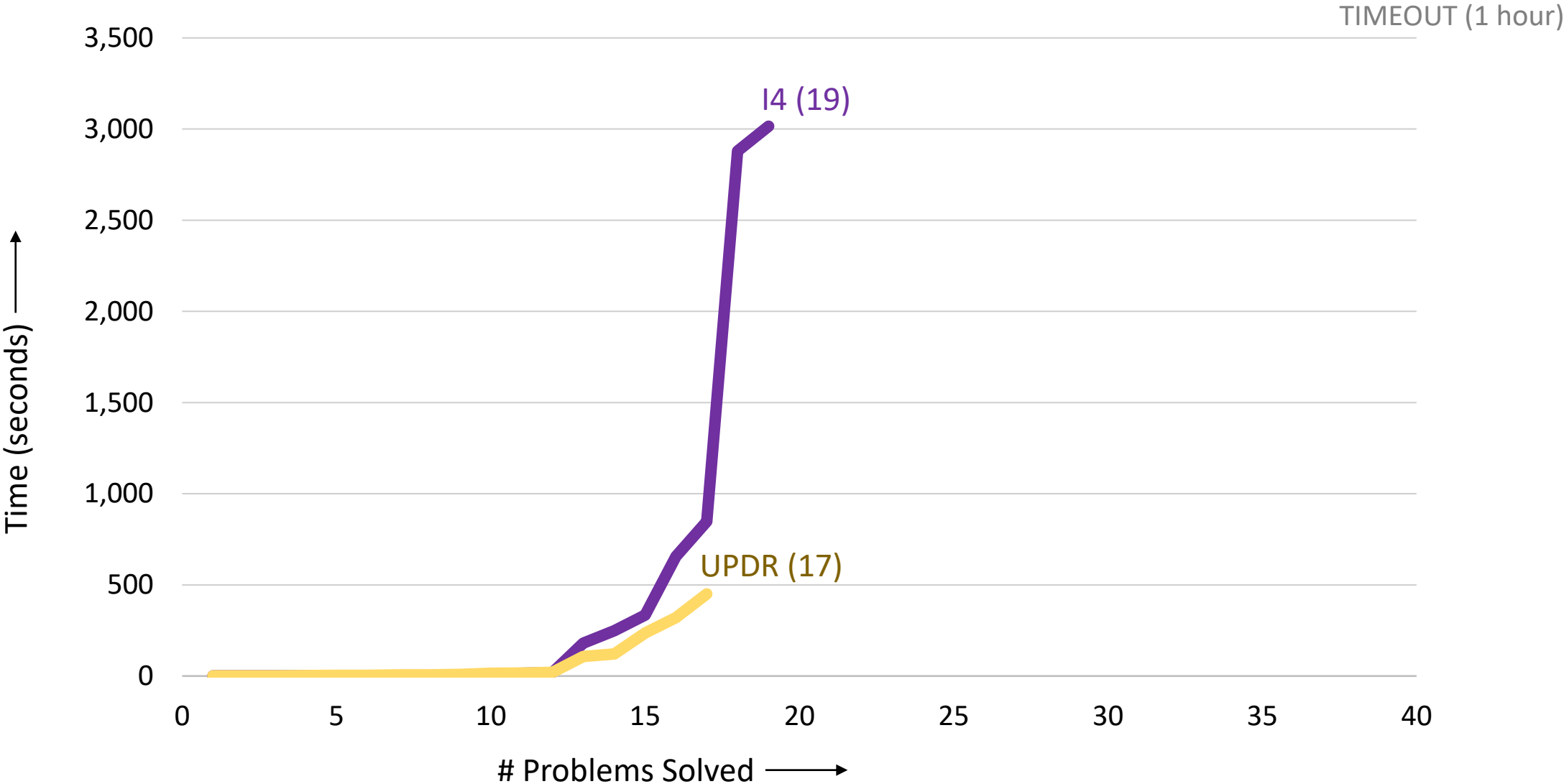
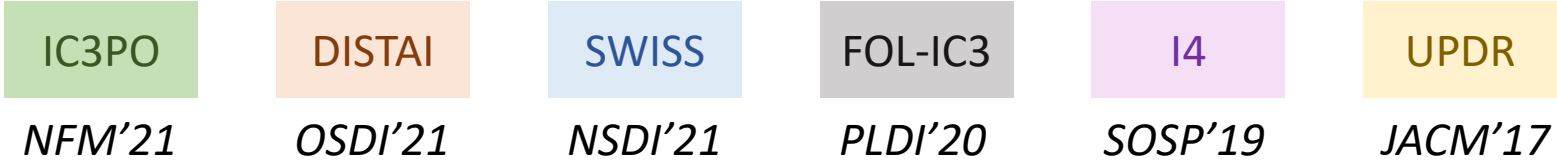
Evaluation



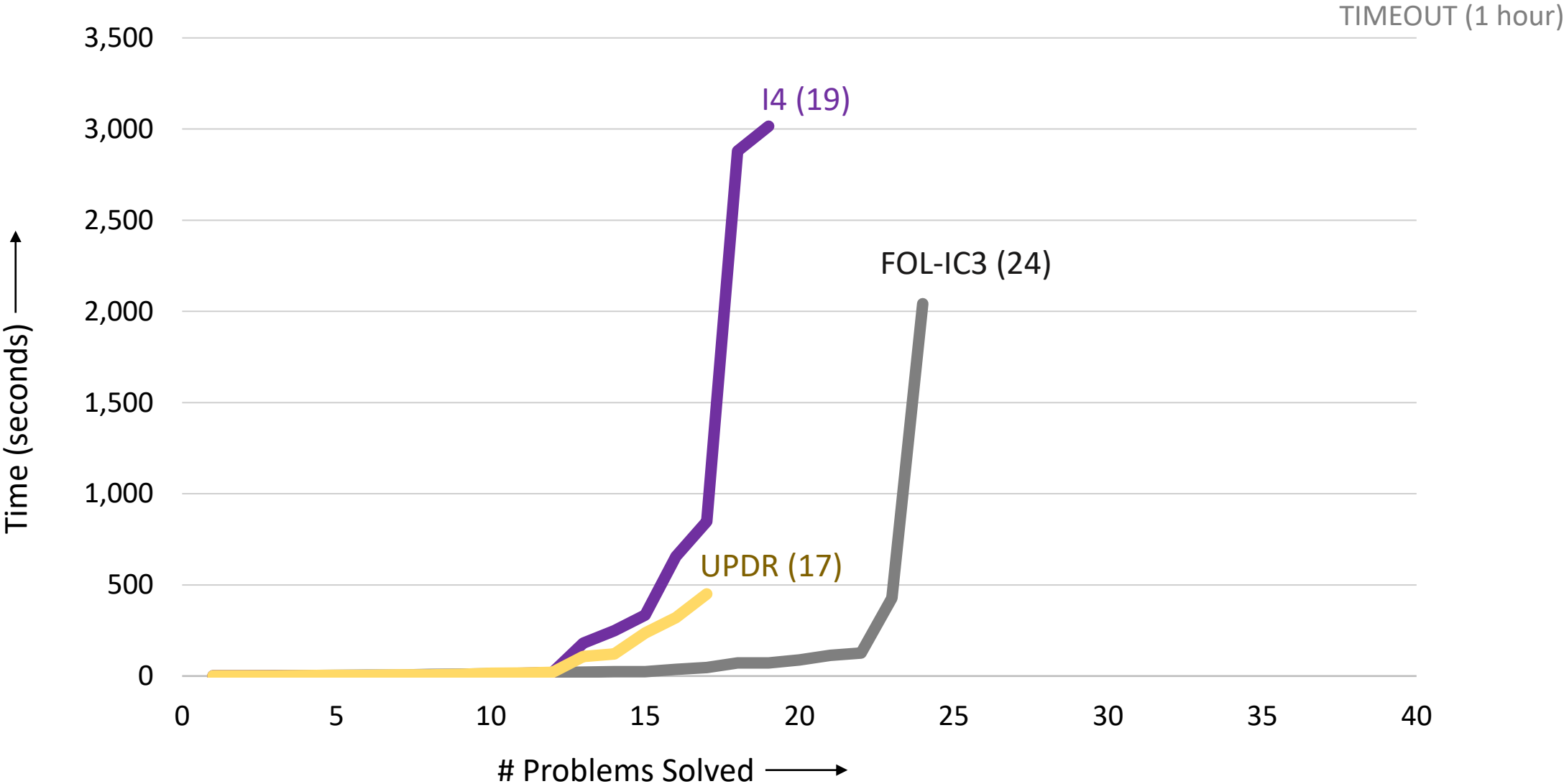
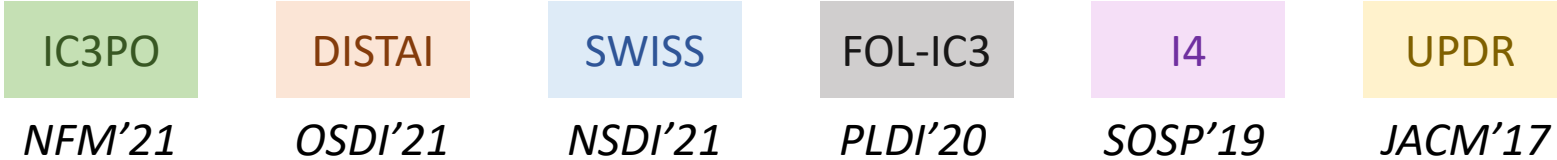
Evaluation



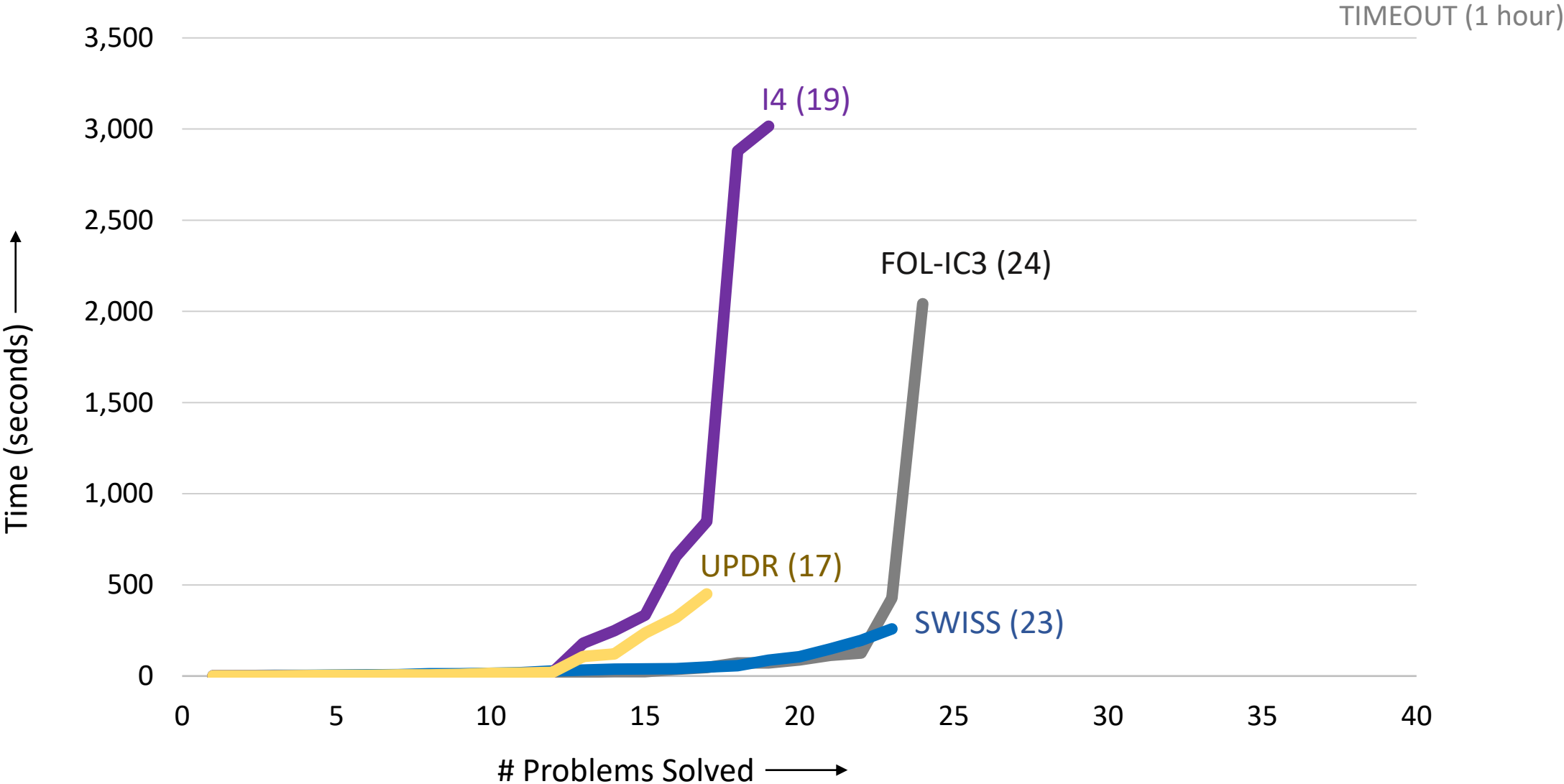
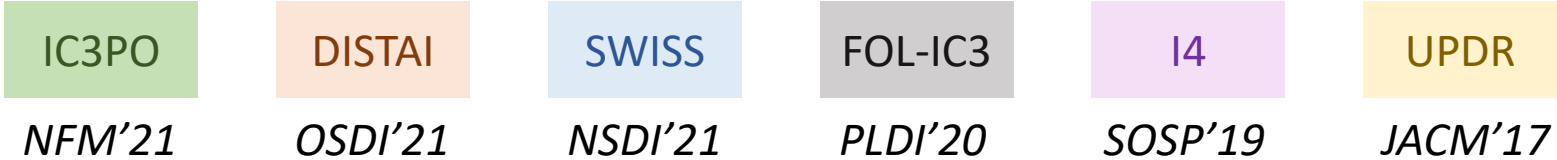
Evaluation



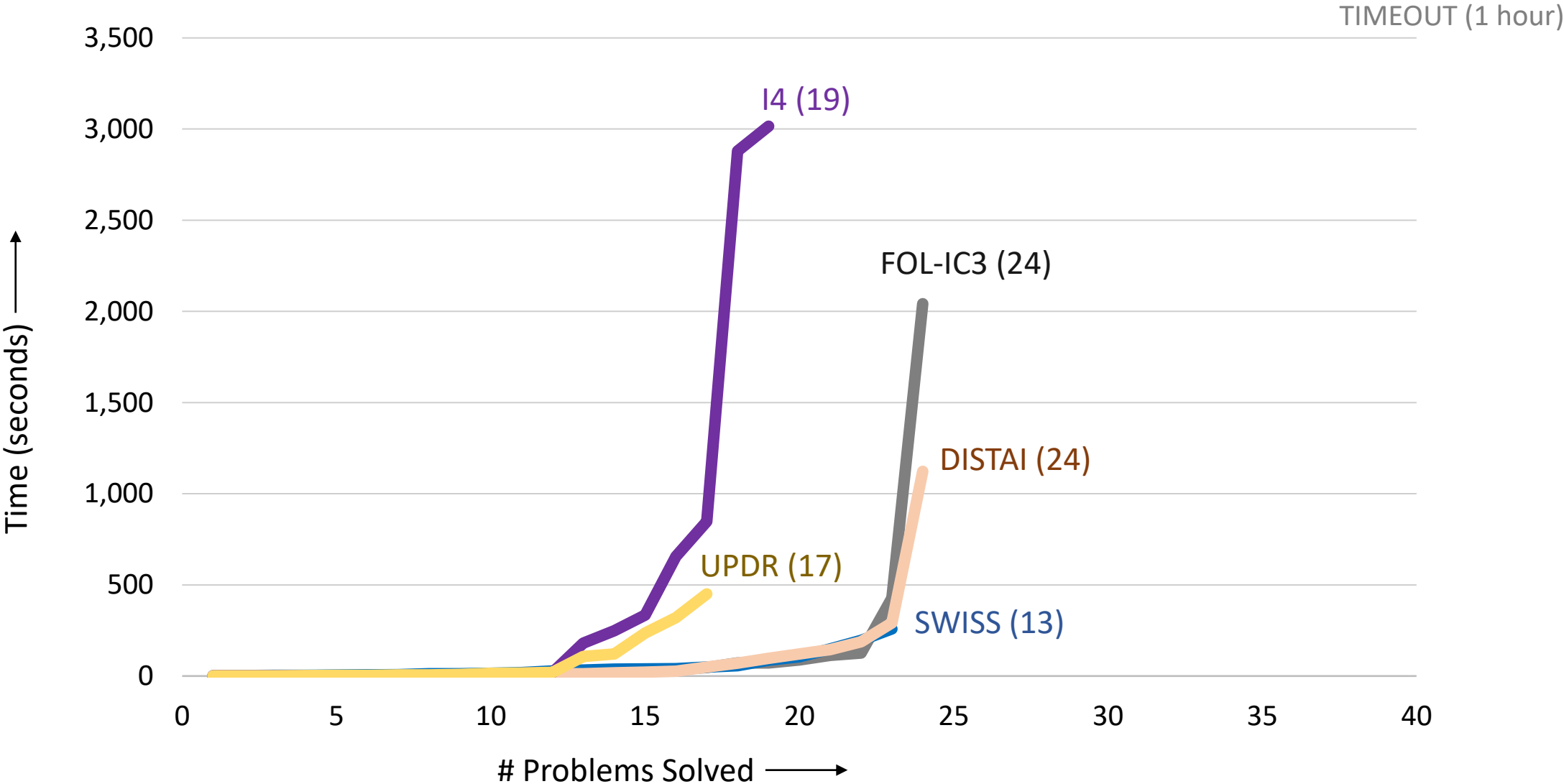
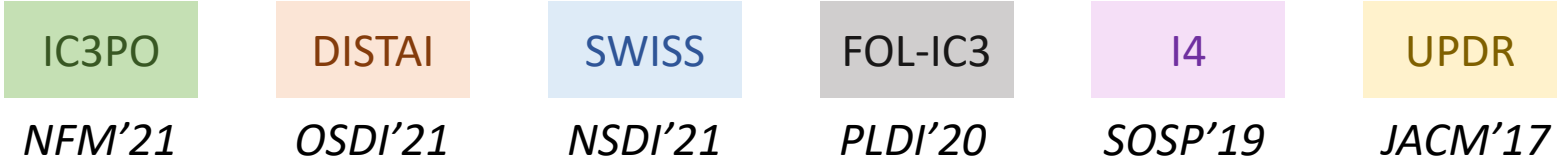
Evaluation



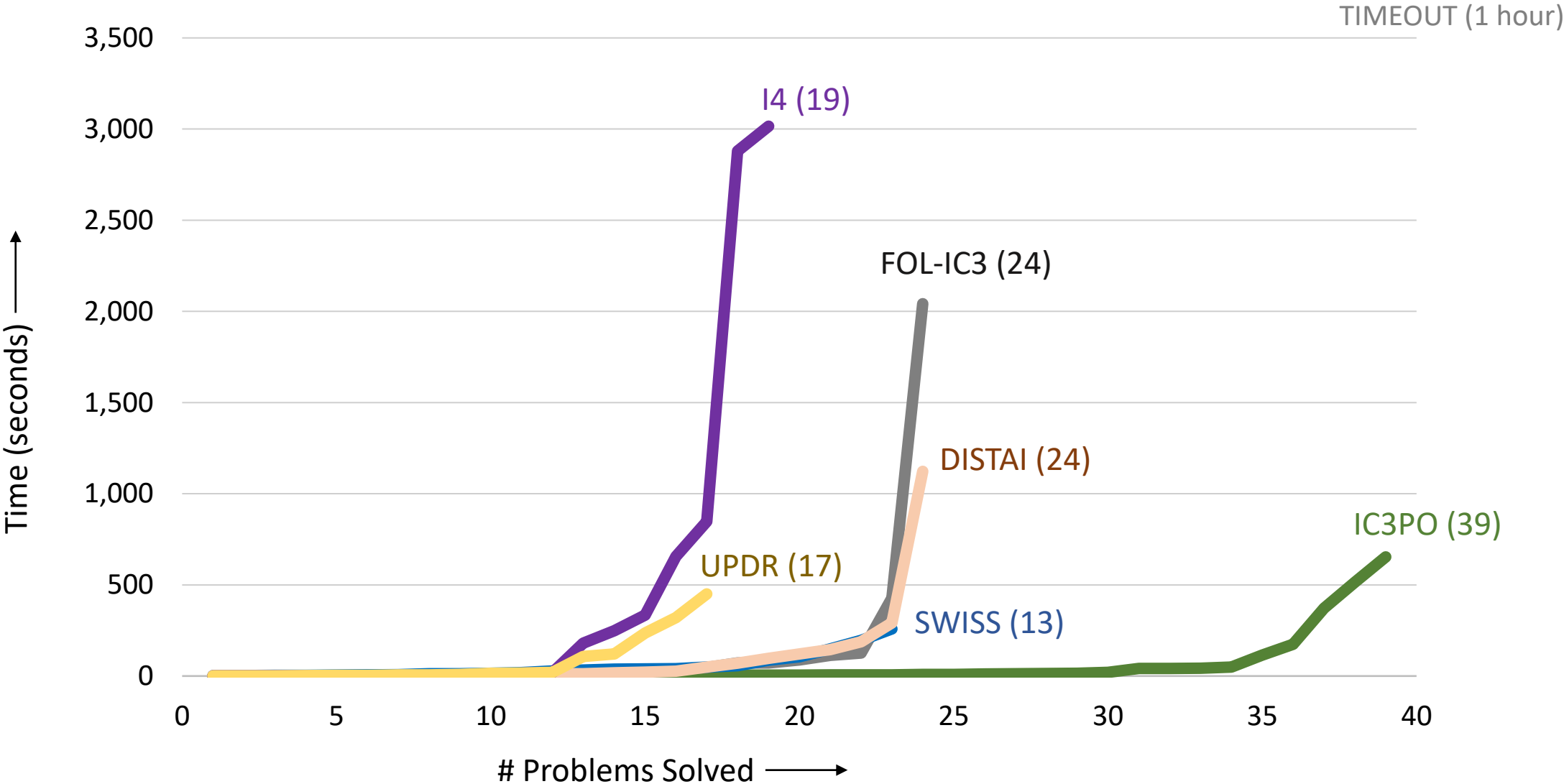
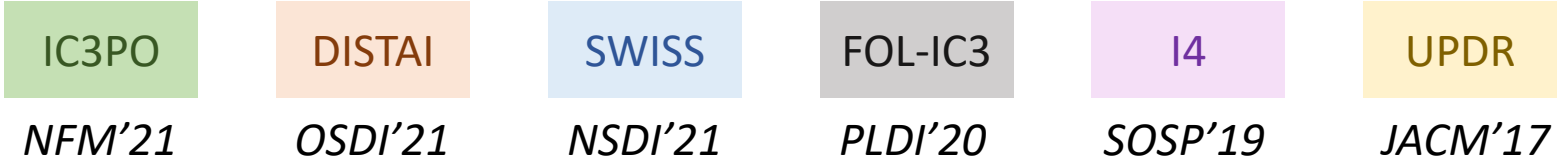
Evaluation



Evaluation



Evaluation



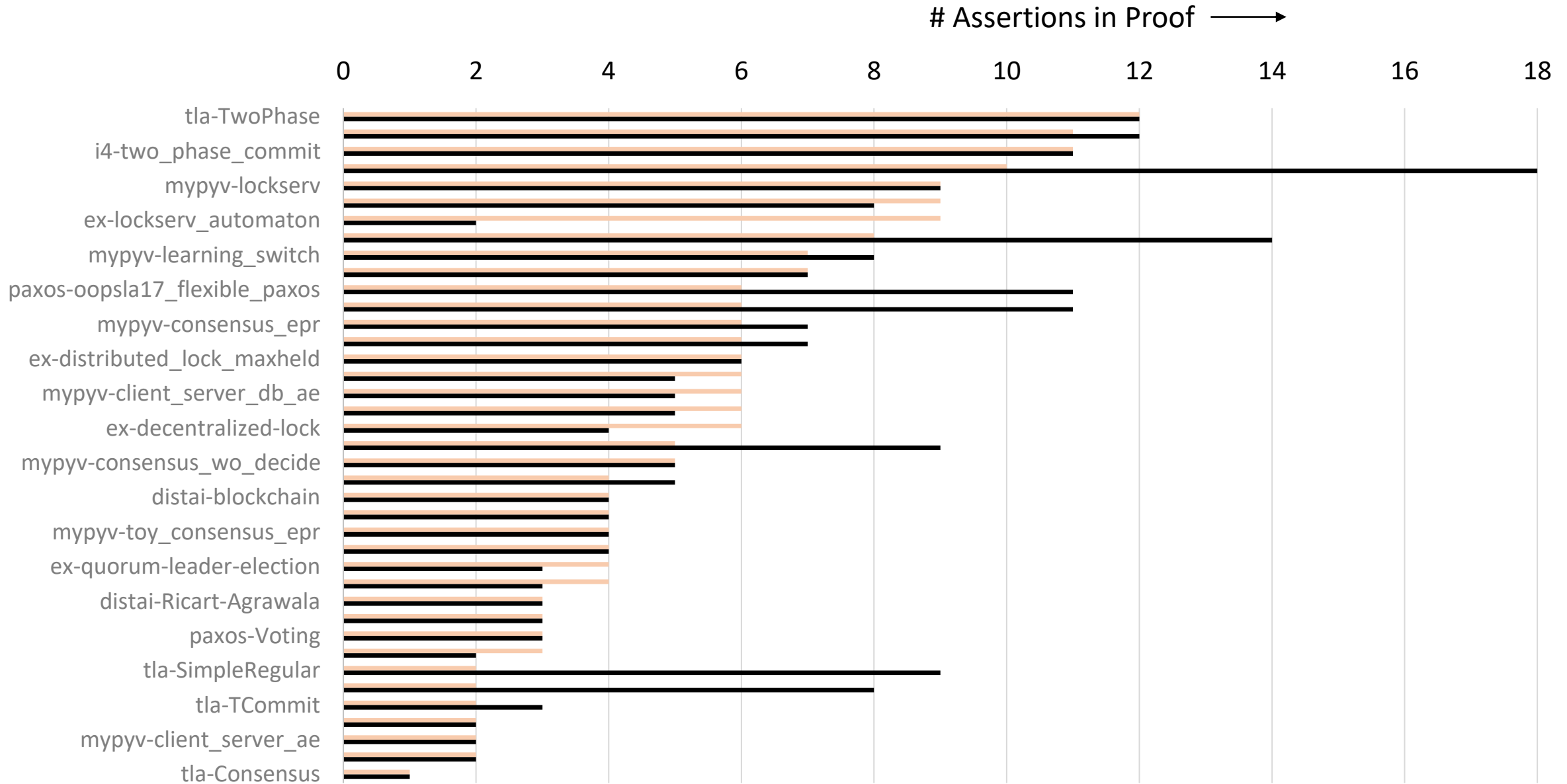
Evaluation

IC3PO

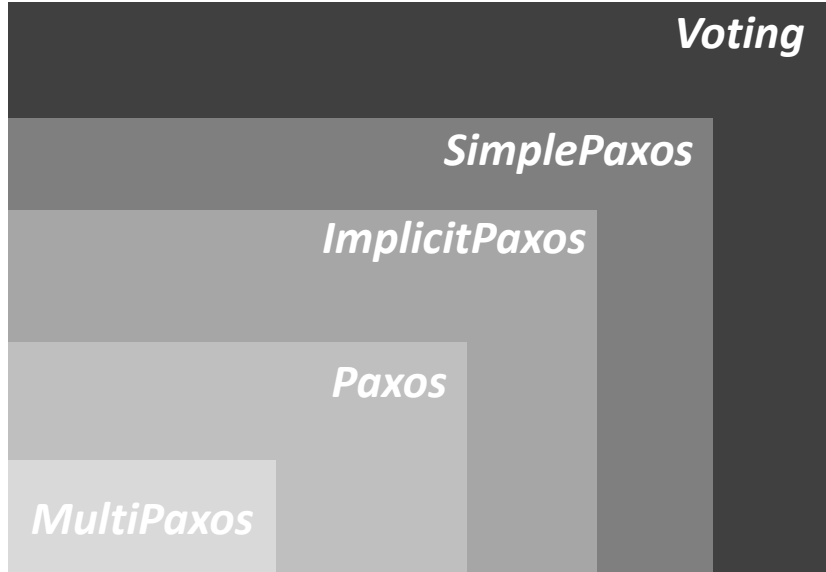
versus

Human

Lower numbers are Better



Proving Paxos Automatically



```

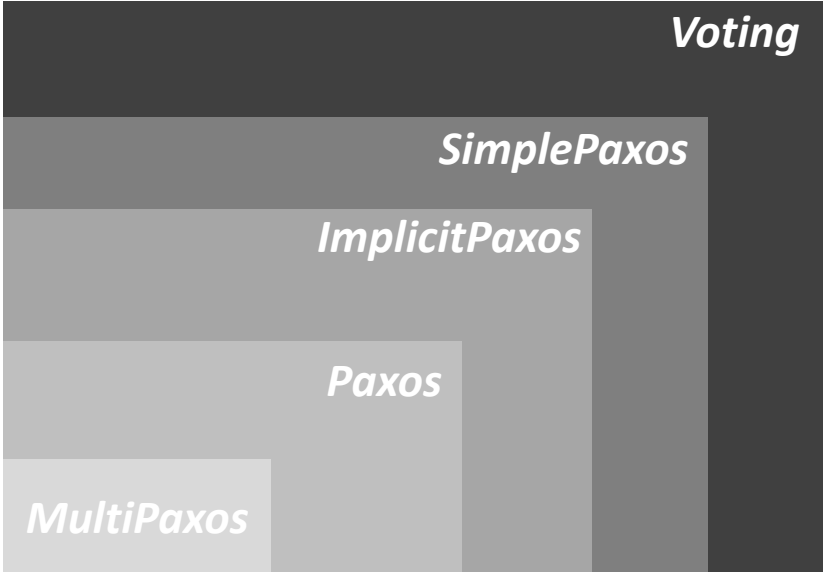
MODULE Paxos
1  CONSTANTS value, acceptor, quorum
2  ballot  $\triangleq$  Nat  $\cup$  {-1}
3  VARIABLES msg1a, msg1b, msg2a, msg2b, maxBal
   maxVBal, maxVal
4  msg1a  $\in$  ballot  $\rightarrow$  BOOLEAN
   msg1b  $\in$  (acceptor  $\times$  ballot  $\times$  ballot  $\times$  value)  $\rightarrow$  BOOLEAN
   msg2a  $\in$  (ballot  $\times$  value)  $\rightarrow$  BOOLEAN
   msg2b  $\in$  (acceptor  $\times$  ballot  $\times$  value)  $\rightarrow$  BOOLEAN
   maxBal  $\in$  acceptor  $\rightarrow$  ballot
   maxVBal  $\in$  acceptor  $\rightarrow$  ballot
   maxVal  $\in$  acceptor  $\rightarrow$  value
   none  $\in$  value
5  ASSUME  $\wedge \forall Q \in$  quorum :  $Q \subseteq$  acceptor
    $\wedge \forall Q_1, Q_2 \in$  quorum :  $Q_1 \cap Q_2 \neq \{\}$ 
6  chosenAt(b, v)  $\triangleq$   $\exists Q \in$  quorum :  $\forall A \in Q$  : msg2b(A, b, v)
7  chosen(v)  $\triangleq$   $\exists B \in$  ballot : chosenAt(B, v)
8  showsSafeAtPaxos(q, b, v)  $\triangleq$ 
    $\wedge \forall A \in q$  :  $\exists M_b \in$  ballot :  $\exists M_v \in$  value : msg1b(A, b, M_b, M_v)
    $\wedge \forall A \in$  acceptor :  $\forall M_b \in$  ballot :  $\forall M_v \in$  value :
      $\neg(A \in q \wedge$  msg1b(A, b, M_b, M_v)  $\wedge (M_b \neq -1))$ 
      $\vee \exists M_b \in$  ballot :
        $\wedge \exists A \in q$  : msg1b(A, b, M_b, v)  $\wedge (M_b \neq -1)$ 
        $\wedge \forall A \in q$  :  $\forall M_{b2} \in$  ballot :  $\forall M_{v2} \in$  value :
         msg1b(A, b, M_{b2}, M_{v2})  $\wedge (M_{b2} \neq -1) \rightarrow M_{b2} \leq M_b$ 
9  isSafeAtPaxos(b, v)  $\triangleq$   $\exists Q \in$  quorum : showsSafeAtPaxos(Q, b, v)
10 Phase1a(b)  $\triangleq$ 
    $\wedge b \neq -1$ 
    $\wedge$  msg1a' = [msg1a EXCEPT ![b] =  $\top$ ]
    $\wedge$  UNCHANGED msg1b, msg2a, msg2b, maxBal, maxVBal, maxVal
11 Phase1b(a, b)  $\triangleq$ 
    $\wedge b \neq -1 \wedge$  msg1a(b)  $\wedge b >$  maxBal(a)
    $\wedge$  maxBal' = [maxBal EXCEPT ![a] = b]
    $\wedge$  msg1b' = [msg1b EXCEPT ![a, b, maxVBal(a), maxVal(a)] =  $\top$ ]
    $\wedge$  UNCHANGED msg1a, msg2a, msg2b, maxVBal, maxVal
12 Phase2a(b, v)  $\triangleq$ 
    $\wedge b \neq -1 \wedge v \neq$  none  $\wedge \neg(\exists V \in$  value : msg2a(b, V))
    $\wedge$  isSafeAtPaxos(b, v)
    $\wedge$  msg2a' = [msg2a EXCEPT ![b, v] =  $\top$ ]
    $\wedge$  UNCHANGED msg1a, msg1b, msg2b, maxBal, maxVBal, maxVal
13 Phase2b(a, b, v)  $\triangleq$ 
    $\wedge b \neq -1 \wedge v \neq$  none  $\wedge$  msg2a(b, v)  $\wedge b \geq$  maxBal(a)
    $\wedge$  maxBal' = [maxBal EXCEPT ![a] = b]
    $\wedge$  maxVBal' = [maxVBal EXCEPT ![a] = b]
    $\wedge$  maxVal' = [maxVal EXCEPT ![a] = v]
    $\wedge$  msg2b' = [msg2b EXCEPT ![a, b, v] =  $\top$ ]
    $\wedge$  UNCHANGED msg1a, msg1b, msg2a
14 Init  $\triangleq$   $\forall A \in$  acceptor : B  $\in$  ballot :
    $\wedge \neg$ msg1a(B)
    $\wedge \forall M_b \in$  ballot : M_v  $\in$  value :  $\neg$ msg1b(A, B, M_b, M_v)
    $\wedge \forall V \in$  value :  $\neg$ msg2a(B, V)  $\wedge \neg$ msg2b(A, B, V)
    $\wedge$  maxBal(A) = -1
    $\wedge$  maxVBal(A) = -1  $\wedge$  maxVal(A) = none
15 Next  $\triangleq$   $\exists A \in$  acceptor : B  $\in$  ballot : V  $\in$  value :
    $\vee$  Phase1a(B)  $\vee$  Phase1b(A, B)
    $\vee$  Phase2a(B, V)  $\vee$  Phase2b(A, B, V)
16 Safety  $\triangleq$   $\forall V_1, V_2 \in$  value : chosen(V_1)  $\wedge$  chosen(V_2)  $\rightarrow V_1 = V_2$ 

```

Hierarchical Structure

State-space Size

(2 values, 3 acceptors, 3 quorums, 4 ballots)



Increasing
Abstraction
Level



2^{30}

Voting

2^{54}

SimplePaxos

2^{138}

ImplicitPaxos

2^{147}

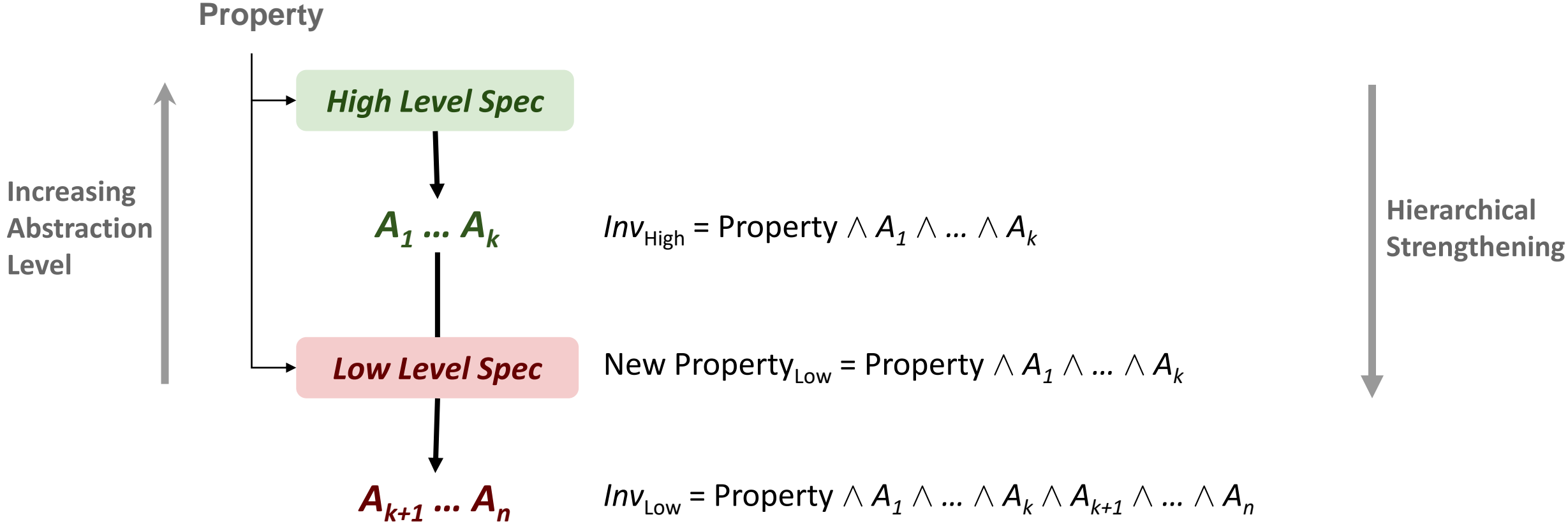
Paxos

2^{280}

MultiPaxos

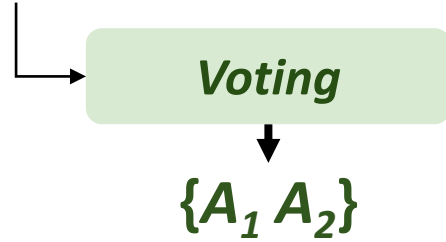
Use Hierarchical Structure to counter Complexity

Hierarchical Strengthening



Proving Paxos Automatically

Property



Input Strengthening Assertions

none

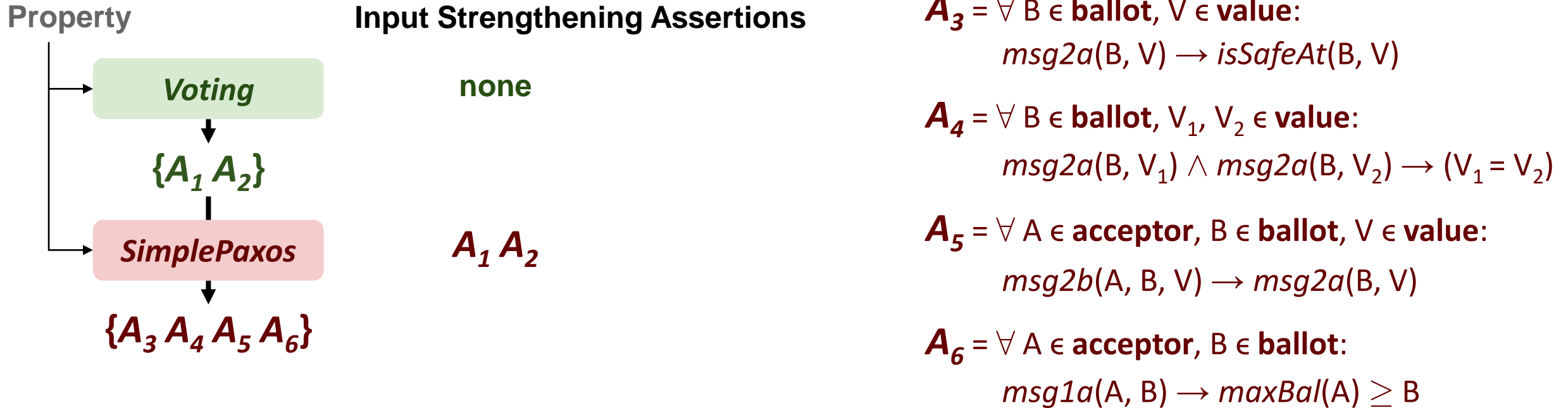
$A_1 = \forall A \in \text{acceptor}, B \in \text{ballot}, V \in \text{value}:$
 $\text{votes}(A, B, V) \rightarrow \text{isSafeAt}(B, V)$

$A_2 = \forall A \in \text{acceptor}, B \in \text{ballot}, V_1, V_2 \in \text{value}:$
 $\text{chosenAt}(B, V_1) \wedge \text{votes}(A, B, V_2) \rightarrow (V_1 = V_2)$

A_1 : If an acceptor voted for value V in ballot number B , then V is safe at B .

A_2 : If value V is chosen at ballot B , then no acceptor can vote for a value different than V in B .

Proving Paxos Automatically



\mathbf{A}_3 : If ballot B leader sends a $2a$ message for value V , then V is safe at B .

\mathbf{A}_4 : A ballot leader can send $2a$ messages only for a unique value.

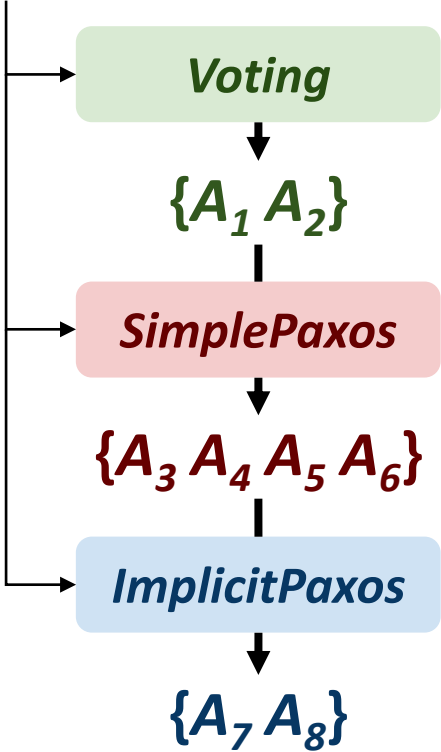
\mathbf{A}_5 : If an acceptor voted for a value in ballot B , then there is a $2a$ message for that value at B .

\mathbf{A}_6 : If an acceptor has sent a $1b$ message at a ballot B , then its maxBal is at least as high as B .

Proving Paxos Automatically

Property

Input Strengthening Assertions



none

$A_1 A_2$

$A_1 \dots A_6$

$$\begin{aligned}
 A_7 = & \forall A \in \text{acceptor}, B, B_{max} \in \text{ballot}, V_{max} \in \text{value}: \\
 & (B > -1) \wedge (B_{max} > -1) \wedge \text{msg1b}(A, B, B_{max}, V_{max}) \\
 & \rightarrow \text{msg2b}(A, B_{max}, V_{max})
 \end{aligned}$$

$$\begin{aligned}
 A_8 = & \forall A \in \text{acceptor}, B, B_{mid}, B_{max} \in \text{ballot}, V, V_{max} \in \text{value}: \\
 & (B > B_{mid} > B_{max}) \wedge \text{msg1b}(A, B, B_{max}, V_{max}) \\
 & \rightarrow \neg \text{msg2b}(A, B_{mid}, V)
 \end{aligned}$$

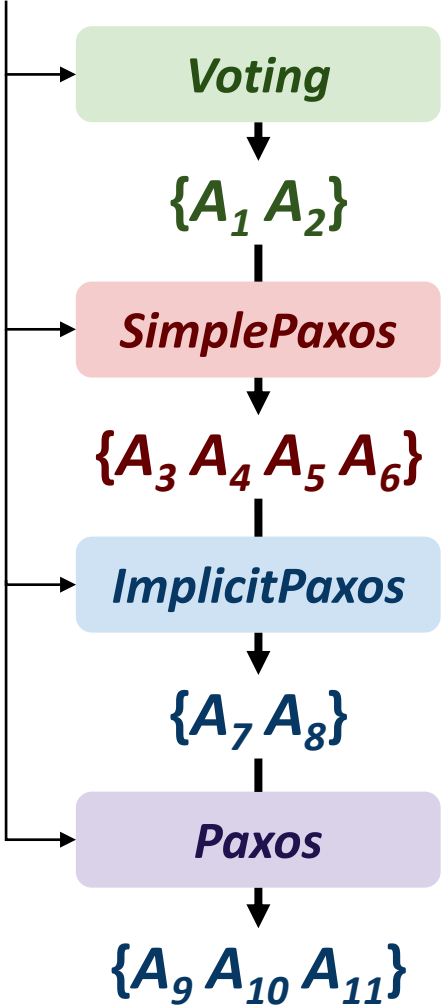
A_7 : If an acceptor issued a *1b* message at ballot B with the maximum vote (B_{max}, V_{max}) , and both B and B_{max} are higher than -1 , then the acceptor has voted for value V_{max} in ballot B_{max} .

A_8 : If an acceptor issued a *1b* message at ballot B with the maximum vote (B_{max}, V_{max}) , then the acceptor cannot have voted in any ballot number strictly between B_{max} and B .

Proving Paxos Automatically

Property

Input Strengthening Assertions



none

$$A_9 = \forall A \in \text{acceptor}: \text{maxVBal}(A) \leq \text{maxBal}(A)$$

$$A_{10} = \forall A \in \text{acceptor}, B \in \text{ballot}, V \in \text{value}: \\ \text{msg2b}(A, B, V) \rightarrow \text{maxVBal}(A) \geq B$$

$$A_{11} = \forall A \in \text{acceptor}: \\ \text{maxVBal}(A) \geq -1 \rightarrow \text{msg2b}(A, \text{maxVBal}(A), \text{maxVal}(A))$$

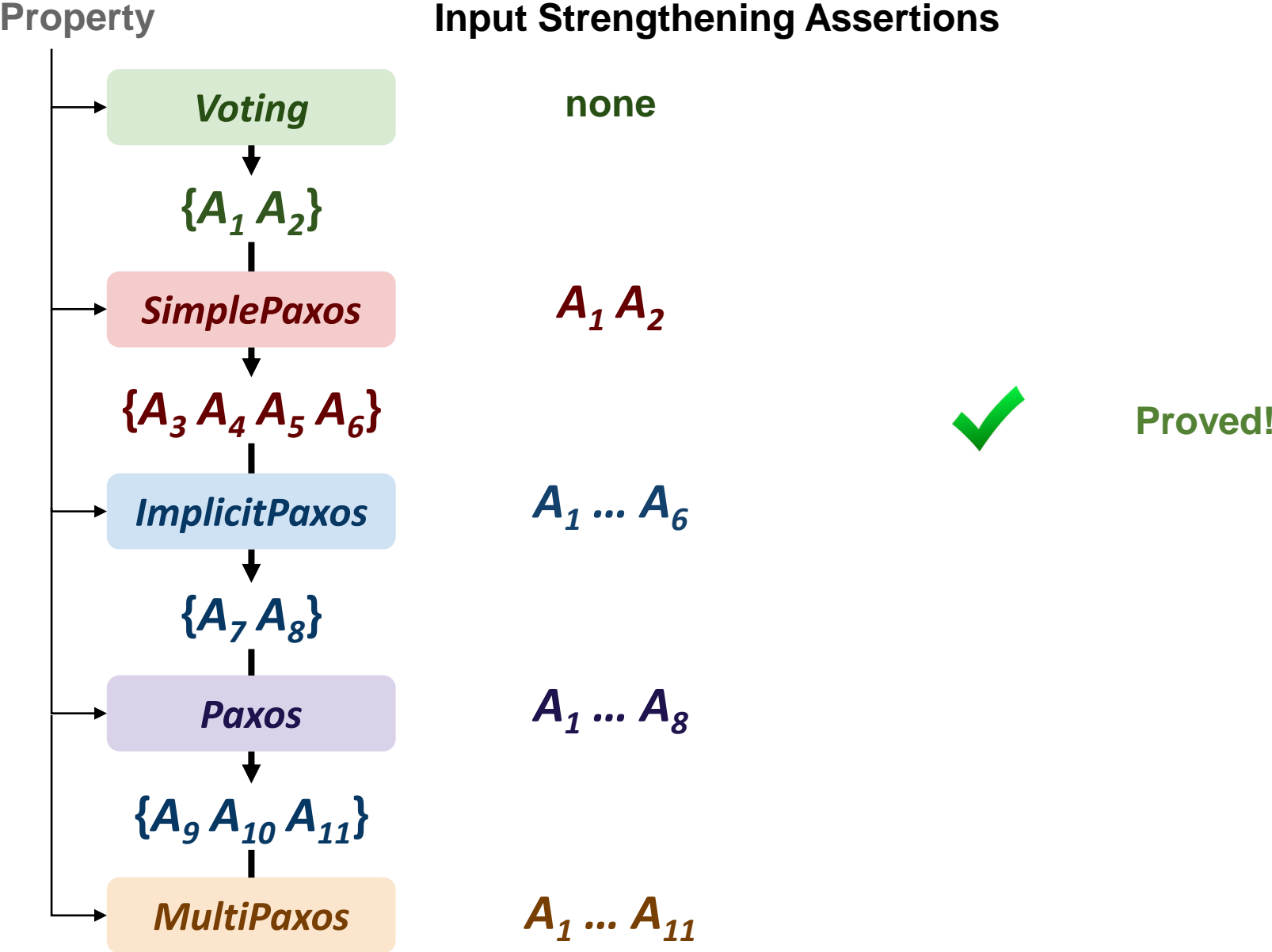
$A_1 A_2$

$A_1 \dots A_6$

$A_1 \dots A_8$

- A_9 : maxVBal of an acceptor is less than or equal to its maxBal .
- A_{10} : If an acceptor voted in a ballot B , then its maxVBal is at least as high as B .
- A_{11} : If acceptor A has its maxVBal higher than -1 , then A has already cast a vote ($\text{maxVBal}(A), \text{maxVal}(A)$).

Proving Paxos Automatically



Proving Paxos Automatically

A_1 : If an acceptor voted for value V in ballot B , then V is safe at B .

A_2 : If value V is chosen at ballot B , then no acceptor can vote for a value different than V in B .

A_3 : If ballot B leader sends a $2a$ message for value V , then V is safe at B .

A_4 : A ballot leader can send $2a$ messages only for a unique value.

A_5 : If an acceptor voted for a value in ballot B , then there is a $2a$ message for that value at B .

A_6 : If an acceptor has sent a $1b$ message at a ballot B , then its $maxBal$ is at least as high as B .

A_7 : If an acceptor issued a $1b$ message at ballot B with the maximum vote (B_{max}, V_{max}) , and both B and B_{max} are higher than -1 , then the acceptor has voted for value V_{max} in ballot B_{max} .

A_8 : If an acceptor issued a $1b$ message at ballot B with the maximum vote (B_{max}, V_{max}) , then the acceptor cannot have voted in any ballot number strictly between B_{max} and B .

A_9 : $maxVBal$ of an acceptor is less than or equal to its $maxBal$.

A_{10} : If an acceptor voted in a ballot B , then its $maxVBal$ is at least as high as B .

A_{11} : If acceptor A has its $maxVBal$ higher than -1 , then A has already cast a vote $(maxVBal(A), maxVal(A))$.

Summary



Automatically Verify Distributed Protocols

Finite-Domain Model Checking

No Undecidability Issues

Spatial & Temporal Regularity

Boost Clause Learning

Regularity \leftrightarrow Quantification

Compact Quantified Inductive Invariants

Hierarchical Strengthening

High Scalability



Provable Correctness & Assurance

Independently-Checkable Proofs/Traces

IC3PO

IC3 for Proving Protocol Properties



github.com/aman-goel/ic3po



arxiv.org/abs/2108.08796

